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SPECIAL PROVISIONS

ARTICLE A SCHEMATICS

SPA 1 DESCRIPTION OF TASK

(a) General Scope. The AE shall review the program materials furnished by the VA and all prior submissions to ascertain the requirements of this phase of the work and shall prepare Schematic Documents illustrating the scale and relationship of project components for review and approval of the VA as further described in the applicable booklets listed in Supplement B which detail the minimum requirements for various AE submissions. The AE shall be responsible for the professional quality and technical accuracy of all of the documents it prepares. Services under this phase shall include but not be limited to the following:

[NOTE: Project Manager describes Scope of Work for Schematics Contract and inserts here. Requirements are as defined in the AE Submission Instructions for National Cemetery Projects; Master Plans, Schematics, Design Development, Construction Documents, As-Built Drawings. Program Guide, PG-18-15, Volume D - dated June 1999]

(b) Quality Control (QC). In an effort to reduce construction change orders due to design errors and omissions, the AE shall develop, execute and demonstrate that the project plans and specifications have gone through a rigorous review and coordination effort. A senior member of the AE firm shall check the specifications and drawings prior to each submittal to the VA, and initial on the documents that such checking was conducted.

SPA 2 ADDITIONAL REQUIREMENTS

(a) VA Supplied Data. All VA Supplied data shall be coordinated and any variations in design shall be justified in a written report.

(b) Resubmission of the Work. In each submission the AE shall resubmit the materials specified in the prior submissions, revised according to the comments made by the VA resulting from the prior review(s).

(c) VA Standards. The AE shall use the VA Construction Standards in preparing Schematics for the project. However, should the AE determine that a deviation from such standards and specifications is necessary or beneficial to

the Government, he shall promptly submit a request in writing to the Contracting Officer for permission to make the deviation. The request shall include an explanation of the specific reasons for the desired change and benefits expected.

(d) Accuracy of Planning Information. The AE shall visit the project site to investigate the information shown on the Government-Furnished drawings, record (as-built) drawings and other planning documents that are part of this contract. This information is the best available but the Government does not guarantee its accuracy or completeness. The AE investigation of field conditions shall be performed in a competent professional manner.

SAMPLE

(e) Discrepancies in Planning Information. The AE shall promptly report, in writing, to the Contracting Officer and Project Manager any discrepancies between this contract and the planning information provided by the Government. The AE shall make no adjustments for this work due to the discrepancy before the Contracting Officer has forwarded his determination to the AE. The AE's failure to report any such discovered discrepancy or to wait for Contracting Officer's determination shall be at his risk and expense.

(f) Reviews. The number of VA reviews of the work shall be as indicated in the Submission and Completion Schedule under Article entitled "Submission and Completion Schedule". VA review of the AE's work product shall not be construed by either party to relieve the AE from its professional responsibility to execute drawings, specifications and other work submissions with due care and in accordance with acceptable professional standards.

SPECIAL PROVISIONS

ARTICLE B
COMPENSATION AND PAYMENTS

SPB 1 FIXED FEE FOR AE SERVICES

- (a) The AE shall provide all professional services necessary for the accomplishment of the project as further described in material listed in Supplement B.
- (b) The AE shall review the program and all material furnished by the VA as a part of this contract to ascertain the full requirements of the project and shall provide all professional services to accomplish these requirements. The AE shall be responsible for the professional quality and technical accuracy of the professional services being provided under this contract.
- (c) Periodic payments shall be made as described in the Schedule of Payments for AE services. These payments may be made on a monthly basis.
- (d) The Government shall pay the AE a fixed fee for each section of each phase of the work, as indicated in the Schedule of Payments below, which shall be full compensation for all services and materials expended for that aspect of work in the execution of the contract. Each section of the work shall be considered a distinct, separate and several part of the contract. The VA shall, at its option have the right to separately authorize any and all sections of the work upon written notice to the AE. The total fixed fee for all work under this contract is \$ _____.

SCHEDULE OF PAYMENTS

AE SERVICES

Schematics \$ _____

TOTAL AMOUNT FOR ALL WORK UNDER THIS CONTRACT = \$ _____

SPB 2 ADJUSTMENT IN THE CONTRACT AMOUNT DUE TO CHANGES

Adjustments of contract fee shall be determined by negotiations before performing the work. The AE shall submit in advance of performing additional work a detailed breakdown of his/her proposal for the changed work in the form established by AE's Estimate of Adjustment in Contract Amount, Explanatory Notes (Attachment I). The contractor shall not be entitled to any additional fee for services rendered without the prior written authorization of the Contracting Officer. See FAR 52.243-1 CHANGES – FIXED-PRICE, ALTERNATE III (APR 1984).

SPB 3 CHANGES – FIXED-PRICE (AUG 1987) (FAR 52.243-1 including Alternate III (APR 1984))

(a) The Contracting Officer may at any time, by written order, and without notice to the sureties, if any, make changes within the general scope of this contract in the services to be performed.

(b) If any such change causes an increase or decrease in the cost of, or the time required for, performance of any part of the work under this contract, whether or not changed by the order, the Contracting Officer shall make an equitable adjustment in the contract price, the delivery schedule, or both, and shall modify the contract.

(c) The Contractor must assert its right to an adjustment under this clause within 30 days from the date of receipt of the written order. However, if the Contracting Officer decides that the facts justify it, the Contracting Officer may receive and act upon a proposal submitted before final payment of the contract.

(d) If the Contractor's proposal includes the cost of property made obsolete or excess by the change, the Contracting Officer shall have the right to prescribe the manner of the disposition of the property.

(e) Failure to agree to any adjustment shall be a dispute under the Disputes clause. However, nothing in this clause shall excuse the Contractor from proceeding with the contract as changed.

(f) No services for which an additional cost or fee will be charged by the Contractor shall be furnished without the prior written authorization of the Contracting Officer.

SPB 4 SCHEDULE OF PAYMENTS FOR AE SERVICES

(a) Schematics. The Government will compensate the AE for each phase of the work and in the amount stated as outlined in this Article SPB-1, above on a monthly payment basis except as otherwise stated below. The amount due will be determined by the AE submittals of an estimate of the amount and value of the work and services performed. Payment shall be for the full amount due less any previous payment and will be based upon the Contracting Officer's review and approval of the AE's invoice. Invoices shall be prepared and submitted in accordance with CP-3.

(b) Reduction of Payments. Should the AE fail to meet the contractual design schedule or should he submit incomplete or unsatisfactory review material the Contracting Officer shall omit or reduce the payments until the deficiency has been remedied. The withholding of any amount and subsequent payment thereof to the AE shall not constitute a waiver of any rights accruing to the AE or the Government under this contract or at law.

(c) Final Payment. Upon completion and acceptance of the work under this contract, delivery of all required documents and delivery of an executed Release of Claims (Attachment II), the AE will be paid the unpaid balance.

(d) Release of Claims (Attachment II). Prior to the Final Payment under this contract or prior to settlement upon termination of the contract, and as a condition precedent thereto, the AE shall execute and deliver to the Contracting Officer, a release of all claims against the Government arising under or by virtue of this contract, other than such claims, if any, as may be specifically excepted by the AE from the operation of the release in stated amounts to be set forth therein.

SPECIAL PROVISIONS

ARTICLE C
SUBMISSION AND COMPLETION SCHEDULE

SPC 1 REVIEW AND COMPLETION SCHEDULE

(a) During the process of the work the Project Manager will conduct periodic reviews with the AE. The AE shall perform the work required within the limits of the following schedule. Professional Architects, Engineers and related design disciplines familiar with the work shall be provided to attend the reviews in VA Central Office, Washington, DC.

SUBMISSION AND COMPLETION SCHEDULE

Deliver Schematic 1 Review material to Project Manager _____ calendar days after
Notice to Proceed

Review Schematic 1 material with Project Manager _____ calendar days after
delivery of review material.

Deliver Schematic 2 Review material to Project Manager _____ calendar days after
Notice to Proceed

Review Schematic 2 material with Project Manager _____ calendar days after
delivery of review material

[*NOTE: The Project Manager should edit Submission & Completion Schedule based on number of reviews determined for specific contract. One review may be sufficient based on scope of project.*]

Payment may be made in full upon completion and acceptance of work required under this contract.

(b) The Project Manager may schedule additional VA Review(s) should he determine that such review(s) is required for satisfactory completion of the contracts. The AE will be reimbursed for the additional trip(s) in accordance with Article "Reimbursements" unless the additional VA Review(s) was requested by him or caused by actions for which he is responsible.

SPC 2 SUBMISSIONS FOR DESIGN REVIEW MATERIALS

(a) For each Design Review the AE shall submit to the Project Manager the material described in the applicable booklets listed in AE Submission Instructions Program Guide PG-18-15 the minimum requirements for various AE submissions. All prints shall be titled, arranged in numerical order and bound in sets. The Final Design Review Material for each stage (Schematic) also will be provided on CD-Rom.

(b) Intermediate review calculations shall be complied by the AE for each required submittal. All design calculations shall be indexed and bound separately.

SPC 3 NOT USED

SPC 4 RETENTION OF REVIEW DOCUMENTS

The AE shall keep one copy of review documents containing VA remarks until final acceptance of the construction contract unless, prior to that time the VA directs the AE to forward certain documents to the Government. The cost of forwarding documents to the Government shall be on a reimbursable basis. If the VA does not request review documents before final acceptance of the construction contract, the AE may then dispose of such documents.

SPC 5 MONTHLY DESIGN PROGRESS REPORT

By the fifteenth day of the reporting month the AE shall submit to the Project Manager a progress report (See CP 2).

SPECIAL PROVISIONS

ARTICLE D REIMBURSEMENTS

SPD 1 TRAVEL EXPENSES

(a) Basis for Reimbursement: If the AE is required to perform travel (other than such travel made at the AE's own decision or caused by actions for which the AE is responsible) in excess of travel required under this contract, as approved by the Contracting Officer, he will be reimbursed for transportation and other travel costs. Costs other than transportation (such as lodging, subsistence and related items) will be reimbursable on a per diem basis in lieu of the actual costs incurred.

(b) The amount of per diem allowable shall be determined by the Contracting Officer on the basis of the comparable costs that would be allowed to an employee of the Federal Government performing similar travel in accordance with the Joint Travel Regulations in effect at the time of travel.

(c) Travel by Privately Owned Vehicle. Travel by automobile or other privately owned vehicle, when authorized by the Contracting Officer, will be reimbursable at the rate provided for in the Joint Travel Regulations in effect at the time of travel.

(d) Air Travel. Reimbursement for air travel will be based on standard Coach / Business Class accommodations. Full and complete justification is mandatory if reimbursement is requested on the basis of first class air travel.

(e) The AE shall be reimbursed for the travel upon presentation of an invoice supported by receipts and other evidence of costs as appropriate.

SPD 2 SITE SURVEYS, SUBSURFACE AND OTHER INVESTIGATIONS

The AE shall arrange for and oversee the performance of topographic surveys, test borings, test pits, soil tests, subsurface exploration and other such investigations as he determines are required for the proper design of the project. Before such surveys and investigations are undertaken, the AE shall secure the written approval of the Contracting Officer. After

receiving the Contracting Officer's approval the AE shall follow either CP1 or CP5. Expenditures for this work shall not exceed the authorized amount without prior written approval of the Contracting Officer. As soon as available, the AE shall submit to the Contracting Officer the original drawings of topographic surveys and/or results of subsurface or other investigations. The Government shall reimburse the AE for cost of such surveys and/or investigations

- (1) upon presentation by the AE of an invoice therefore accompanied by executed receipts, and,
- (2) after receipt and approval by the Contracting Officer of the pertinent data, drawings and recommendations.

No mark-up or profit shall be allowed in computing this cost.

SAMPLE

SPECIAL PROVISIONS

ARTICLE E GENERAL ITEMS

SPE 1 EVALUATION OF AE CONTRACT PERFORMANCE

The VA shall evaluate the overall performance of the AE by professional discipline after completion of design and after construction. All evaluations shall include an narrative pointing out strengths and weaknesses as well as a numerical rating. The AE shall be informed, in writing, by the Contracting Officer, of his overall performance denoting his strengths and weaknesses.

SPE 2 DEFINITIONS

(a) **CONTRACTING OFFICER:** The Contracting Officer awards the contract and maintains Governmental contractual authority over the services performed by the Architect Engineer under this contract. The Contracting Officer may delegate limited authority to other VA personnel.

(b) **PROJECT MANAGER:** The Project Manager is the Contracting Officer's representative responsible for administering the contract.

(c) **RESIDENT ENGINEER:** The Resident Engineer is the Contracting Officer's authorized representative at the construction site. The Contracting Officer may issue limited contracting authority the Resident Engineer.

(d) AE: This term refers to the Architect Engineer firm(s) that has contracted with the Government to perform the services described herein for compensation as set forth in the article entitled "Compensation and Payments", Clause SPB 1.

(e) CONTRACTOR: This term, as used herein, refers to the contractor under this contract. This term is interchangeable with the term AE under this contract.

(f) CONSTRUCTION CONTRACTOR: This term, as used herein, refers to the Prime Contractor performing the construction of the project.

(g) CEMETERY DIRECTOR: This term refers to the Director of the VA National Cemetery at which the project is to be constructed.

(h) CONSTRUCTION CONSULTANT (C/C): This term, as used herein, refers to the Construction Consultant firm(s) that has contracted with the Government to perform construction consulting services [construction inspections, etc.] during the design and construction phase of this project under separate contract. The C/C and the AE shall work in close cooperation with each other for the benefit of the Government.

(i) VALUE ENGINEERING CONSULTANT (VEC): This term, as used herein, refers to the Value Engineering firms(s) that have contracted with the Government to perform the Value Engineering Services.

(j) SPECIAL CONSULTANTS: This term, as used herein refers to any Special Consultant that has contracted with the Government or AE to perform work related to this project.

SPE 3 REFERENCE TO GENDER

Whenever the masculine gender is used in the contract, it shall be considered to include both masculine and feminine gender.

SPE 4 COORDINATION WITH CEMETERY

Before starting any work on the VA National Cemetery, the AE shall consult with the Cemetery Director and secure permission to start the work. The AE shall perform the work within the parameters established by the Cemetery Director and himself. He shall not interfere with the normal functioning of the cemetery.

SPE 5 RELEASE OF INFORMATION

The AE shall not divulge or release any information, oral or written, (including electronic), developed or obtained in connection with performance of this contract or any possible construction based on the results thereof (including, but not limited to, reports, plans, specifications, location, time, estimated cost of construction or estimated VE savings) or except to authorized Government personnel or upon prior written approval of the VA.

SPE 6 KEY PERSONNEL

The AE shall employ the following professional personnel to perform the services required under this contract. Such listing below shall also provide the individual's specific job title. No substitution will be made without the advanced written approval of the Contracting Officer after he has reviewed the proposed replacement's experience and qualifications record submitted by the AE with explanation of the necessity for the change.

Principal-in-Charge

Project Manager

Architect

Landscape Architect

Civil Engineer

Structural Engineer

Irrigation Engineer

Mechanical Engineer

Electrical Engineer

OTHER

SPE 7 PERMITS AND LICENSES

The AE shall, when requested, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits in connection with the performance of its services. The AE shall, without additional expense to the Government, be responsible for assuring that the work is in the compliance with requirements of applicable codes, ordinances and regulations.

SPE 8 COMPLIANCE WITH CODES AND STANDARDS

- (a) Project construction as a whole shall comply with the Office of Construction Management standards for construction that are listed in Supplement "B" of the contract. Portions of work not covered by previously referenced standards shall comply with locally recognized national model codes for construction and special trades.
- (b) In the design of new building and alteration work under this contract, consider requirements (other than procedural requirements) of -
 - (1) zoning laws, and
 - (2) laws relating to landscaping, open space, minimum distance of a building from the property line, maximum height of a building, historic preservation, and esthetic qualities of a building, and similar laws, of the State and local political division would apply to the building if it were not to be constructed or altered by the U. S. Government.
- (c) The AE shall consult with appropriate officials of the State or political subdivision, or both, providing plans for review by such officials and giving given a reasonable period of time not to exceed 30 calendar days for the review. The AE and VA shall give due consideration to recommendations of the above referenced officials.
- (d) The AE shall provide prompt, written notification to the Contracting Officer concerning:
 - (1) conflicts with, or
 - (2) recommended deviations from codes, laws, regulations, standards, and opinions of review officials as described above. No work altering the scope of the contract shall be undertaken prior to receipt of written approval of the Contracting Officer.

(e) No action may be brought against the AE or VA and no fine or penalty may be imposed for failure to carry out any of the previously described recommendations of State or local officials. VA and its contractors, including AE, shall not be required to pay any amount for any action taken by a State or political division of a State in carrying out functions described in this article, including reviewing plans, issuing permits, and making recommendations.

(f) The AE shall advise the Contracting Officer of any variances with the applicable Department of Labor, Occupational Safety and Health Standards, for occupancy requirements.

SPE 9 DOCUMENT LIBRARY

The AE shall establish a Document Library and therein have access to a copy of each Federal, VA, trade or other specification, standard, code or document that is quoted or referred to in this contract directly or by reference. The AE shall provide working access to this library to other independent consultants of the VA upon request of the Contracting Officer.

SPE 10 PRESERVATION OF HISTORICAL AND ARCHEOLOGICAL DATA

Public Law 93-291 (93rd Congress) provides for the preservation of historical and archeological data (including relics and specimen) that might be lost due to alteration of the terrain of a site due to any Federal construction project. The AE shall notify the Project Manager immediately upon discovery of any possible historical or archeological data that may warrant investigation. Any historical or archeological survey mitigation plan or salvage operation shall not be a part of this contract unless otherwise agreed.

SPE 11 QUALIFICATIONS OF DESIGNERS

The design of architectural, civil, landscape architectural, irrigation, structural, mechanical, electrical, or other engineering features of the work shall be accomplished by architects or engineers registered in a State or possession of the United States, or in Puerto Rico or in the District of Columbia.

SPE 12 TIMELY RESPONSE

As time is of the essence, the AE shall perform all aspects of the work on this contract and take prompt action upon all the VA's requests. The VA shall state a time requirement if less than 15 days otherwise 15 days shall be the requested response time. If the scope of the required action is extensive, the AE shall notify the Contracting Officer and request additional time, estimating the time needed to process the work. This request for additional time shall not relieve the AE of his duty to process this work in a timely fashion.

SPE 13 OWNERSHIP OF ORIGINAL DOCUMENTS

All designs, drawings, specifications, notes, and other work developed in the performance of this contract shall be and remain the sole property of the Government and may be used on any other work without additional compensation to the AE. With respect thereto, the AE agrees not to assert any rights and not to establish any claim under the design patent or copyright laws. The AE for a period of 3 years after completion of the project agrees to furnish and provide access to all retained materials (including electronic) on the request of the Contracting Officer. Unless otherwise provided in this contract, the AE shall have the right to retain copies all such materials beyond such period.

SPE 14 LIABILITY

The AE shall be responsible for all damages to property or injuries to persons that occur as a result of his fault or negligence.

SPE 15 ASBESTOS

In conformance with VAAR 870.114, the AE shall not specify or approve any asbestos product or equipment or material containing asbestos products under this contract, unless no suitable substitutes are available. If suitable substances are not available, specific authority to specify or approval asbestos products and equipment or materials containing asbestos products must be obtained in writing from the Contracting Officer. The AE is specifically liable to the VA for any costs resulting from a failure to strictly comply with this paragraph.

SAMPLE

AE CONTRACT PROCEDURES

CP 1 PROCEDURES FOR AE TO FOLLOW IN ENGAGING PROFESSIONAL SERVICES AS A REIMBURSABLE ITEM UNDER THEIR CONTRACT

(a) The AE shall select at least three (3) professionally qualified firms to perform the needed services. He shall have each firm submit completed Standard Forms 254 and 255, U.S. Government AE Questionnaire. The AE shall submit the three (3) qualified firms 254s and 255s to the VA for verification that the firms are acceptable and upon approval shall hold informal interviews with qualified firms. After an appraisal of each firm's capabilities, availability to do the work within the scheduled time and other consideration of the written scope of professional work, the AE shall list the firms in order of professional qualification and desirability. He shall next begin the negotiation stage with the firm considered most suitable, discussing the scope of work required and obtaining the amount of the firms lowest acceptable fee together with any applicable unit fees. No fee negotiations shall take place with any other qualified firm until fee negotiations with first qualified firm have been successfully negotiated or terminated.

(b) The AE shall submit the following information to the Contracting Officer for his information and review:

- (1) List of the three (3) qualified firms arranged in order of desirability together with Standard Form 254 and 255 and any other appropriate information.
- (2) Copy of Scope of Professional Work describing nature of services to be performed.
- (3) Name of the recommended firm with a brief justification for its selection and the amount of the lowest acceptable fee and unit fee(s) quoted by the recommended firm to perform the work.
- (4) AE's cost estimate of value of service to be performed.

(c) The Contracting Officer will review the AE's submission and, if acceptable, he will authorize the AE to contract for services. If found unacceptable the AE may be directed to:

- (1) Re-negotiate with the recommended firm to attempt to obtain a lower fee and failing to do this terminate negotiations, or
- (2) Terminate negotiations with the recommended firm and start negotiation with the next best qualified firm until a mutually acceptable fee is arrived at and the Contracting Officer authorizes the AE to contract for the services.

SAMPLE

CP 2 PROCEDURE FOR SUBMITTAL BY AES OF MONTHLY DESIGN
PROGRESS REPORT

(a) Purpose: The purpose of the Monthly Design Progress Report is to provide for the computation of amounts claimed for monthly payments for the services rendered under the AE contract and to permit the processing of internal VA administrative progress reports.

(b) Preparation: The AE shall prepare and submit a report to the Contracting Officer in the format shown on the attached sample. The percentage completions reported shall be estimated as carefully as possible to the nearest round figure as of the last working day of the reporting month. The report shall be sent as backup to the monthly AE progress payment.

(c) Reporting Entries:

(1) Architectural Drawings: Submit the percent completion for all architectural drawings including structural, site planning, landscape architectural drawings.

(2) Engineering Drawings: Submit the percent completion for all mechanical drawings including irrigation, electrical, plumbing, heating, air conditioning, sanitary and equipment.

(3) Overall Design Completion: In computing the overall design completion percentage the individual percentages will be weighted in accordance with their proportional part of the total project.

SAMPLE

Contracting Officer
(Insert Address)

I hereby certify that the following is percent completion of Schematics on being prepared for Project No. _____, Contract No. _____, located at

_____.

Architectural drawings _____ %
Engineering drawings _____ %
Overall Design Completion _____ %

AE

SAMPLE

CP 3 PROCEDURE FOR SUBMITTAL BY AE OF INVOICES FOR PAYMENT

(a) Invoice: The AE shall prepare each invoice for payment for services rendered under the contract on his letterhead in the format shown on the attached sample and submit an original and three (3) copies of each invoice to the Contracting Officer. Invoices shall indicate the section of the contract under which payment is claimed, the pertinent service performed, the percentage of the service completed applied to the total contract amount for the service and the amount due. The invoice for payment for "Site Visits" shall be submitted as a package with the record of site visits made during the pertinent period certified by the Resident Engineer.

SAMPLED

CP 3 -- Continued

To constitute a proper invoice, the invoice **must** include the following information:

SAMPLE

Invoice for Payment of Schematic

Date : _____
Invoice #: _____

Contracting Officer
(Insert Address)

FOR PROFESSIONAL SERVICES RENDERED TO DATE:

RE: AE CONTRACT NO. _____ PROJECT NO. _____
STATION _____

Installment for (Month, Year)

A. Contract amount for Schematic plus related modifications that are to be paid based upon the percent of completed work, _____ x _____ percent completed = \$ _____

B. Completed modifications that are only to be paid for when all work has been completed and accepted by the Contracting Officer = \$ _____

TOTAL AMOUNT EARNED	\$ _____
LESS PREVIOUS PAYMENTS	\$ _____
AMOUNT DUE	\$ _____

Payment of the above amount now due is hereby requested.

Payee (NAME OF AE)
Per _____ (Signature) Title _____

CP 4 PROCEDURE FOR COMPUTATION OF BUILDING AREA

1. The AE shall submit to the Contracting Officer a report of the gross area of his design at each of his submissions. The AE shall prepare the gross area computation for Buildings.

2. List of Computations:

Area	L.	W.	S.F.
A	X	Y	=s.f.
B	X	Y	=s.f.
C	X	Y	=s.f.
Etc.	X	Y	=s.f.
Total Designed		Target Gross	
Gross Area _____ s.f.		Square Footage _____ s.f.	

3. Areas which are not counted in gross area:

- Pipe basement or crawl space
- Areaways, either grilled over or open
- Cat-Walks
- Outside ramps or steps (without cover)
- Exposed mechanical equipment enclosed with a screen wall but not roofed
- Fuel tanks or pneumatic tanks placed underground
- Mezzanine or balcony in two-story rooms when mezzanine or balcony is a grille floor without equipment
- Porches
- Outside balconies
- Areaways
- Loading platforms
- Covered driveways
- Other roofed areas or passage without enclosing walls

SAMPLE

CP 5 PROCEDURES FOR AES TO FOLLOW TO OBTAIN APPROVAL OF REIMBURSABLE ITEMS NOT OF A PROFESSIONAL NATURE AND PRINTS OR REPRODUCIBLE PRINTS

(a) To obtain approval of reimbursable items referred to in Special Provisions, Article entitled, Reimbursements, SPH 1, and only when professional services are not part of these items, the AE shall:

- (1) Prepare specifications and drawings describing the items to be furnished.
- (2) Obtain not less than three (3) bids stating the items, quantities, all other particular demands, unit prices, and total prices for the particular items.
- (3) Submit recommendation and request for authorization to the Contracting Officer for his review and approval. This submission will include:
 - (a) A copy of the specifications and, if required, plans describing the items to be furnished.
 - (b) A copy of each bid.
 - (c) A recommendation indicating the items to be furnished by the bidder, unit prices, total quantities, total lump sum price and the name of the firm recommended for the award of the contract.

(b) To obtain prints, or reproducible prints, referred to in Special Provisions, Article entitled, Reimbursements, SPH 1, the AE shall:

- (1) Prepare specifications describing the prints or reproducible prints, to be furnished. (Weight and quality of paper, size of sheets, number of sheets per set, number of sets, method of binding, etc.)
- (2) Obtain at least three (3) bids for prints or reproducible prints from at least three (3) different printing companies stating the kinds of prints or reproducible prints, weight and quality of paper, price per square foot, number of square feet for sheet, the price per sheet, price per set, and total price.

(3) Submit recommendations and request for authorization to the Contracting Officer for his review and approval. This submission will include:

(a) A copy of the specifications.

(b) A copy of each bid.

(c) Recommendations as to the type of prints or reproducible prints, the weight and quality of paper, the price per square foot, number of square feet per sheet, the price per sheet, price per set, total price, and the name of the firm recommended for the award of the contract.

(c) No obligations shall be incurred for work covered by this procedure until written approval of the Contracting Officer is received. Expenditures for this work shall not exceed the approved amount without prior written approval of the Contracting Officer.

SAMPLE

ATTACHMENT I

AE's ESTIMATE OF ADJUSTMENT IN CONTRACT AMOUNT EXPLANATORY NOTES

Adjustments in contract amount for changes in professional services beyond contract scope covered by FAR 52.243-1, CHANGES-FIXED PRICE, Alternate III (AUG 1987), and SPB 2, "Adjustment in Contract Amount Due to Changes," shall be computed and submitted in this format. The following explanatory notes relate to the preparation of Formats a & b.

FORMAT a:

Technical Supervision (Formats a & b)

Include only personnel directly supervising production of drawings, specifications, cost estimate and related work for the project, such as Group Captain, Job Captain, Squad Boss, etc. Do not include Top Management, Middle Management, and Lower Management personnel who are included in overhead.

Travel (Format a)

Travel covers cost of transportation relating to these changes in professional services beyond that required by the contract.

Columns (2), (3), (4) (Format a)

Summarize totals in Columns (2), (3) and (4) obtained from Format b. Use Format a for entering other professional service cost items.

Columns (5), (6), (7)

Use these columns for entering man-hours of personnel working on such items as design calculations, specifications and cost estimating.

FORMAT b:

Use for the analysis of estimated man-hours for changing or preparing drawings. Tabulate thereon the estimated man-hours for performing the work referenced in circled areas, Column (2), on specific drawings, Column (1). Also show the total number of man-hours for each type of work for each drawings, Column (8).

Include on Format b any explanation(s) that may be helpful in the review of the proposal.

Format a
 AE's ESTIMATE OF ADJUSTMENT IN CONTRACT AMOUNT - SUMMARY

WORK CATEGORIES (1)	ESTIMATED TIME IN MANHOURS					
	Supervisor (2)	Designer (3)	Draftsman (4)	Other (Specify)		
	(5)	(6)	(7)			
Drawings (Data from Format b)						
Design Calculations: Structural						
Electrical						
Mechanical						
Selection of Equipment						
Revision of Equipment						
Architectural – Structural Specification Section Nos.						
Mechanical-Electrical Specification Section Nos.						
Construction Time Duties						
Cost Estimating						
TOTAL						

TOTAL COST BREAKDOWN

- 1. Supervisor _____ hrs. @ \$ _____ /hr. \$ _____
- 2. Designer _____ hrs. @ \$ _____ /hr. \$ _____
- 3. Draftsman _____ hrs. @ \$ _____ /hr. \$ _____
- 4. Other _____ hrs. @ \$ _____ /hr. \$ _____
- 5. Other _____ hrs. @ \$ _____ /hr. \$ _____
- 6. Other _____ hrs. @ \$ _____ /hr. \$ _____
- 7. Total Design Costs (Lines 1 thru 6) \$ _____
- 8. Overhead & Profit @ _____ % (Of line 7) \$ _____
- 9. Total (Lines 7 and 8) \$ _____
- 10. Blueprinting 1/ \$ _____
- 11. Special Reproduction and Binding 1/ \$ _____
- 12. Travel 1/ \$ _____
- 13. Additional Item (Specify) _____ \$ _____
- 14. Additional Item (Specify) _____ \$ _____
- 15. GRAND TOTAL (Lines 9 thru 14) \$ _____

1/ Use additional blank sheets to explain blueprinting charges, specification reproduction and binding charges, travel charges, etc., and other categories of work requiring explanation.

ATTACHMENT II
RELEASE OF CLAIMS

For and in consideration of the payments heretofore made, and payment of final installment now due by reason of performance of Contract No. _____, dated _____, the undersigned AE hereby releases and discharges the United States of America from any and all claims arising under or by virtue of said contract, except as follows:

(In this space describe and list in stated amounts excepted claims, if any; otherwise this release will be considered as free of all claims. If no claims reserved, insert NONE.)

IN WITNESS WHEREOF, This release has been duly executed this

_____ day of _____.

AE

BY: _____
(Print or type name under signature)

Title (Print or type)

SAMPLE